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# Preventing Orphan Requests by Integrating Replication and Transactions

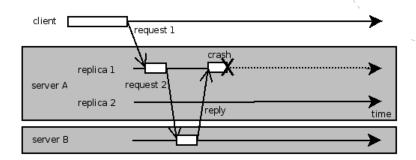
Heine Kolltveit and Svein-Olaf Hvasshovd Department of Computer and Information Science Norwegian University of Science and Technology

### **Outline**

- Motivation
- Integration
- Performance Results
- Summary

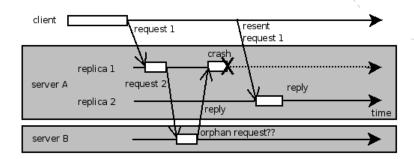


### **Orphan Request**



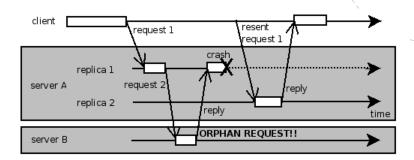


### **Orphan Request**





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#### **Determinism**

- Identical input gives identical output and identical internal state changes.
- Sources of non-determinism [Poledna 1993]:
  - Multi-threading
  - Timeouts
  - Reading analogue sensors
  - Inconsistent order
  - ...



## **Availability And Consistency**

- Replication -> Availability
  - Active
  - Passive
- Transactions -> Consistency
  - ACID properties



### **System Model**

- Set S of fail-crash processes (nodes)
- Group G implements a service
- Node in G is called a replica
- Group view
- Passive replication
- Two-Phase Commit protocol
- Stateful, but no persistent state
- All replicas are non-deterministic



### Components

- Client initiate transaction and commitment processing
- Transaction Manager creates txn ID and manages commitment
- Transaction Participants execute requests and vote







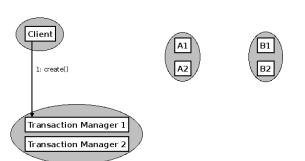
Transaction Manager 1
Transaction Manager 2



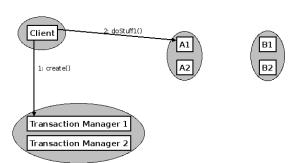


Transaction Manager 1
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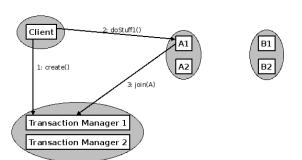




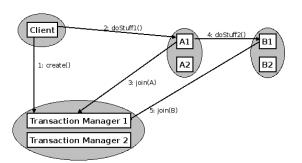




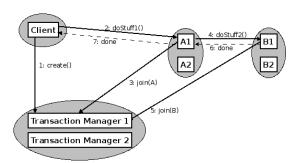




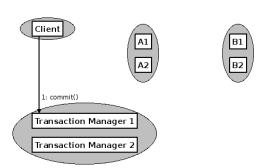




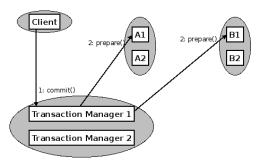




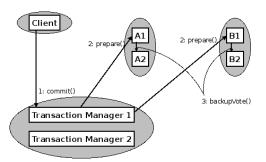




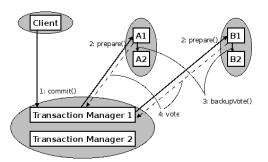




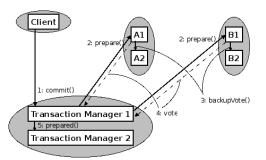




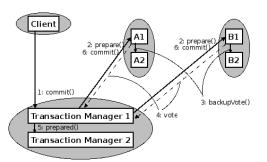




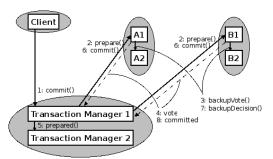




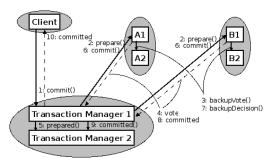










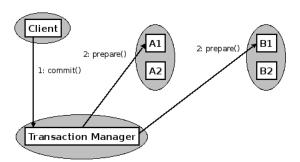




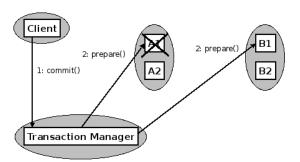
### **Replication Transparency**

- The replication of the server is hidden from the client
- Server-side proxy sends message to primary replica
- Group communication mechanisms keeps the proxy up to date
- Failovers are handled by proxy, server does not know

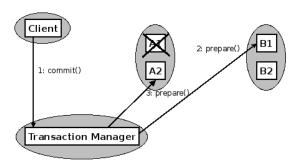




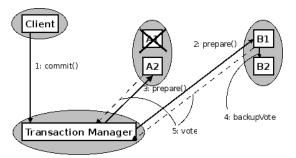






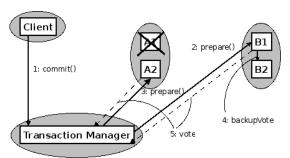






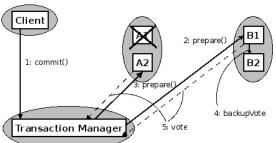
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- Solution: Abort all transactions interacting with a replica that fails

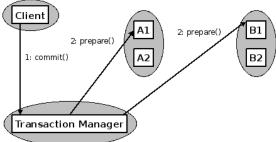




- Server B is in an inconsistent state
- Solution: Abort all transactions interacting with a replica that fails
- => Which transactions are caught in a failover

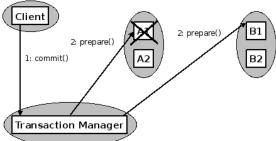


- Avoid failover of prepare messages
- Special proxies for the TM
- TM informed about failovers



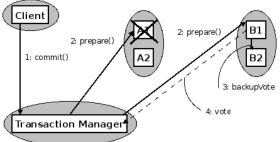


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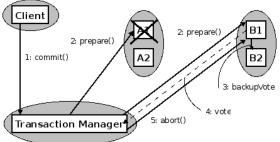


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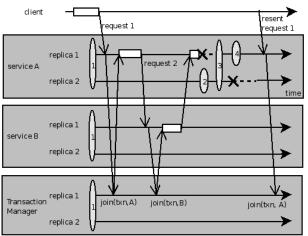




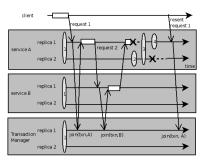
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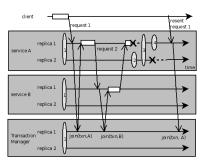






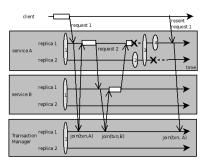
Second join message from A looks like a resend of first





- Second join message from A looks like a resend of first
- Solution: join(txnid, group) -> join(txnid, group, viewid)



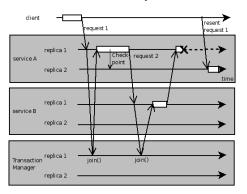


- Second join message from A looks like a resend of first
- Still need to break replication transparency



### **Checkpoints**

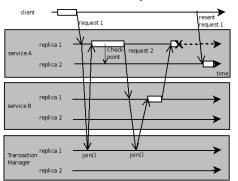
#### Can be taken anytime





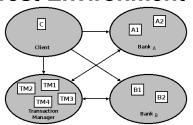
### Checkpoints

Can be taken anytime



- Prepare message sent to new primary A2 -> request 2 is not aborted NTNU
  - Break replication transparency

#### **Test Environment**



- Hardware: 5 nodes, 100Mbit Ethernet, Dual AMD MP 1600+ 1,4GHz CPUs, 1024 MB RAM
- Software: Linux kernel 2.6, Java version 1.5.0, Jgroup/ARM GMS, Jini Transaction Service
- 500 transactions, measured client side response time



Test run	Description	Average (ms)		
Nonreplicated system				
1	1 TM and 2 banks	47		



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Nonreplicated system			
1	1 TM and 2 banks	47	
Passive replication of the TM			
2	2 passive TMs and 2 banks	77	
3	3 passive TMs and 2 banks	92	
4	4 passive TMs and 2 banks	106	



		1	
Test run	Description	Average (ms)	
Nonreplicated system			
1	1 TM and 2 banks	47	
2	2 passive TMs and 2 banks	77	
3	3 passive TMs and 2 banks	92	
4	4 passive TMs and 2 banks	106	
Fully replicated system			
5	1 TM and 2x2 banks	75	
6	2 passive TMs and 2x2 banks	148	
7	3 passive TMs and 2x2 banks	164	



Test run	Description	Average (ms)	Delay (%)	
	Nonreplicated system	(1113)	(70)	
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1	1 TM and 2 banks	47	0	
Passive replication of the TM				
2	2 passive TMs and 2 banks	77	64	
3	3 passive TMs and 2 banks	92	96	
4	4 passive TMs and 2 banks	106	126	
Fully replicated system				
5	1 TM and 2x2 banks	75	60	
6	2 passive TMs and 2x2 banks	148	215	
7	3 passive TMs and 2x2 banks	164	249	



#### **Conclusions**

- Replication High availability
- Transactions Consistency
- Integrated without enforcing replica determinism
- Break replication transparency -> no orphan requests
- Open-source prototype:
  - Implementation too slow for RT systems
  - Jini and Jgroup are not tuned for performance



#### **Further Work**

- Implement in a tuned environment
- Other transaction models
- Handle all failure scenarios of 2PC
- Replica management (create, restart, update)

